

WINSTEADdirect dial: 512.370.2808
bnewberger@winstead.com**FAX COVER SHEET**

368:18608-P001C1

PLEASE DELIVER TO:	COMPANY	PHONE NO.	FAX NO.
SPE VINCENT MILLIN	PATENT OFFICE	(703) 306-1065	(703) 306-4597

MESSAGE: Attached is the Terminal Disclaimer that was sent to you on January 27, 2003 and August 16, 2002. Please note that this the third time the Terminal Disclaimer has been sent to you. Feel free to call me if you have any questions.

FROM: BARRY S. NEWBERGER PHONE NO.: 512.370.2808
DATE: MARCH 21, 2003 TIME IN: 4:55 PM

NO. OF PAGES 6 INCLUDING COVER SHEET.

If any transmission problems, please call:

512.370.2824

INFORMATION CONTAINED IN THIS TRANSMISSION IS INTENDED FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE AND MAY CONTAIN LEGALLY PRIVILEGED AND/OR CONFIDENTIAL INFORMATION. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPY OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE PERMANENTLY DELETE THIS MESSAGE AND IMMEDIATELY NOTIFY US BY TELEPHONE.

ORIGINAL WILL NOT FOLLOWSUITE 800
100 CONGRESS AVENUE
AUSTIN, TEXAS 78701PH 512 474 4330
FAX 512.370 2850
WINSTEAD.COMWINSTEAD SECHREST & MINICK
Attorneys and Counselors
A Professional CorporationAustin, Dallas, Fort Worth,
Houston, Mexico City,
The Woodlands, Washington, DC

18608-P001C1

PATENT

- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jan Stallaert et al.

Serial No.: 09/067,640

Filed: April 27, 1998

Group Art Unit: 2163

Examiner: Geoffrey Akers

Title: A METHOD AND APPARATUS FOR BUNDLED ASSET TRADING

TERMINAL DISCLAIMER TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D. C. 20231


Dear Sir:

Transmitted herewith is the Terminal Disclaimer for the above-identified Application.

- ☐ No additional fee is required.
- ☒ The fee has been calculated as shown below:

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being faxed to SPE Vincent Mullin at (703) 306-4597, to Assistant Commissioner for Patents, Washington, D C. 20231, on January 27, 2003.



Signature

Serena Beller

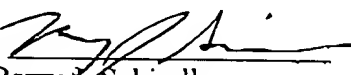
(Printed name of person certifying)

Applicant's attorney has also included with this petition the required fee under 37 C.F.R. 1.17(h) by including the United States Patent and Trademark Office, Credit Card Payment Form.

Dated: August 21, 2002

Respectfully submitted,

DREIER & BARITZ LLP

By: 
Barry J. Schindler
Registration No. 32,938

Mailing Address:
DREIER & BARITZ LLP
499 Park Avenue
New York, New York 10022
(212) 328-6100
Facsimile: (212) 328-6101

18608-P001C1

PATENT
#8/02
3/25/03
(NE)

- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jan Stallaert et al.

Serial No.: 09/067,640

Filed: April 27, 1998

Group Art Unit: 2163

Examiner: Geoffrey Akers

Title: A METHOD AND APPARATUS FOR BUNDLED ASSET TRADING

January 27, 2003

TERMINAL DISCLAIMERAssistant Commissioner for Patents
Washington, D. C. 20231

The owner, Omega Consulting, Inc., of 100% interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 to 156 and 173, as presently shortened by any terminal disclaimer, of prior Serial No. 09/472,671. The owner hereby agrees that any patent so granted on the instant application

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being faxed to SPE Vincent Mullin at (703) 306-4597, to Assistant Commissioner for Patents, Washington, D.C. 20231, on January 27, 2003.



Signature

Serena Beller

(Printed name of person certifying)

03/25/2003 LYON11 00000002 232426 09067640

01 FC:2814

55.00 CH

18608-P001C1

PATENT

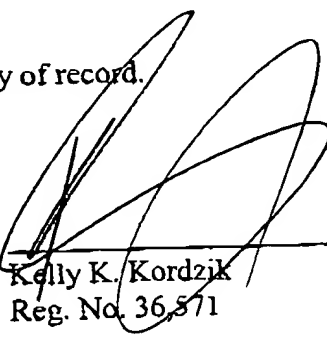
shall be enforceable only for and during such period that it and the prior Serial No. 09/472,671 are commonly owned. This Agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors and assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of the prior Serial No. 09/472,671, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. 1.321, has all claims cancelled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Terminal disclaimer fee under 37 C.F.R. 1.20(d) included.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned is an attorney of record.



Kelly K. Kordzik
Reg. No. 36,571

5400 Renaissance Tower
1201 Elm Street
Dallas, Texas 75270-2199
(512) 370-2851

18608-P001C1

PATENT

CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims:	47	-	47	0	x \$9=	\$ - 0 -
Independent Claims:	4	-	4	0	x \$42 =	\$ - 0 -
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT =						\$ - 0 -

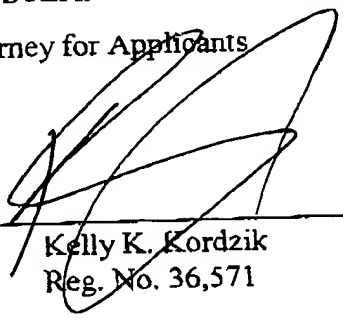
- ☒ Please charge Deposit Account No. 23-2426 (18608-P001C1) in the amount of \$ 55.00 (Terminal Disclaimer Fee). **A duplicate copy of this transmittal letter is enclosed.**
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication, or credit any overpayment, to Deposit Account No. 23-2426 (18608-P001C1). **A duplicate copy of this transmittal letter is enclosed.**
- ☒ Any additional filing fees required under 37 C.F.R. § 1.116.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicants

By:


 Kelly K. Kordzik
 Reg. No. 36,571

5400 Renaissance Tower
 1201 Elm Street
 Dallas, Texas 75270
 (512) 370-2851